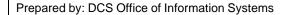
Process Description





Interstate Compact on Juveniles Process Description Document

Prepared by:

Department of Children's Services Office of Information Systems

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Process Description

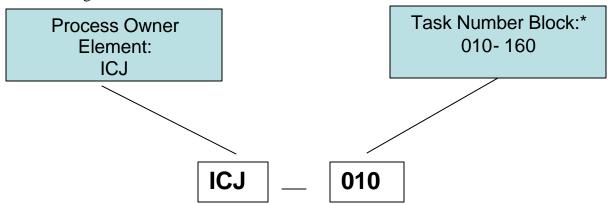
Prepared by: DCS Office of Information Systems



Process Element Definitions

Task: A unit of work to be completed that is part of the overall process.

Task naming convention:



Task Owner: Indicates the person/group that is responsible for performing the task.

Participants: Individuals and organizations that are actively involved in the process/task, or whose interests may be positively or negatively affected as a result of process execution or process completion.

Inputs: Entry information used specifically to assist in accomplishing the task for which it is aligned. The same input might be applied to multiple tasks.

Performance Metric: A quantitative measurement to assess the task's success.

Performance Steps: The series of steps necessary to accomplish the given task in such manner that it meets the performance metric provided.

Outputs/Deliverables: The deliverables produced from performing the given task using the input information, standard and performance steps to accomplish the outcome. An output might feed several inputs.

Process Description

State of Tennessee

IT Wethodology

Prepared by: DCS Office of Information Systems

Process Description

Process Name: Interstate Compact on Juveniles

Process Manager: Sherry Bolden-Rivers

Process Description: The Interstate Compact on Juveniles (ICJ) is a national

agreement between the 50 states that covers multi-state youth issues. The ICJ covers a variety of juvenile problems from the safe return of runaways to out-of-state youth supervision. The AJCA (Association of Juvenile Compact Administrators) is the national governing body for the operation and regulation of the

compact. Each state maintains an office of the ICJ Administrator in order to handle the communication and administration of ICJ cases between the local caseworkers and

other states. The ICJ covers the following issues:

• The return of runaway children

• The return of juvenile escapees and absconders

• Supervision of juvenile probationers and parolees

• Extended out-of-state travel for delinquent youth

Interfaces w/ other processes: Juvenile Justice

Child & Family Custody

Process Participants: DCS Family Service Worker

DCS Team Leader TN ICJ Administrator

Associated Documentation:

- ICJ Form I Requisition for Runaway Juvenile
- ICJ Form II Requisition for Escapee or Absconder/Juvenile Charged with Being Delinquent (Rendition Amendment)
- ICJ Form III Consent for Voluntary Return by Runaway, Escapee, or Absconder or Juvenile Charged as Delinquent
- ICJ Form IV Parole or Probation Investigation Request
- ICJ Form V Report of Sending State Upon Parolee or Probationer Being Sent to Receiving State
- ICJ Form IA/VI Application for Compact Services / Memorandum of Understanding and Waiver
- ICJ Form A Petition for Requisition to Return a Runaway Juvenile

Process Description



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- ICJ Quarterly Progress Report
- ICJ Home Evaluation Report
- ICJ Travel Permit
- ICJ Rights for Voluntary Return of Runaway, Absconder, Escapee, or Juvenile Being Charged as Delinquent
- ICJ Case Closure Form
- ICJ Petition for Hearing on Requisition for Runaway Juvenile
- ICJ Petition for Hearing for Escapee, Absconder, or Juvenile Charged as Delinquent
- ICJ Annual Report Form

Supporting Policy:

- 2006 AJCA Rules and Regulations
- DCS Policy: 1.25
- Interstate Compact on Juveniles Practices and Procedures Manual

Process Description

IT Methodology Prepared by: DCS Office of Information Systems

Outgoing

Task (ICJ-010): CFTM: On-going Assessment/Case Planning

Task Owner: DCS Family Service Worker

Participants: DCS Family Service Worker, CFT members

Inputs: A determination has been made by CFTM that it is in the best interests of the youth to continue probation or aftercare while living with an out-of-state resource.

Performance Steps:

- 1. DCS Family Services worker has identified an out-of-state resource for the youth. (Or, the youth's family may have moved out-of-state)
- 2. Family Service Worker and CFT members determine that youth should continue probation or aftercare while residing with out-of-state resource.
- 3. Refer to the process map and document for On-going Assessment/Case Planning for details regarding the tasks/activity involved in the On-going Assessment and Case Planning Process.

Outputs/Deliverables:

• Recommendation to initiate ICJ process.

Task (ICJ-020): Family Service Worker completes required ICJ referral and forwards 3 copies to TN ICJ Office

Task Owner: DCS Family Service Worker

Participants: DCS Family Service Worker

Inputs: Recommendation from CFTM to initiate ICJ process.

Performance Steps:

- 1. Family Service Worker completes form IV
- 2. Family Service Worker completes form 1A/VI
- 3. Family Service Worker forwards a referral to the TN ICJ office. Referral includes:
 - a. Completed forms IV and 1A/VI (**original** and 2 copies)

Process Description



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- b. Cover letter
- c. Copy of court orders, petitions, police reports, etc. that provide information about why the youth is on probation or aftercare.
- d. Copy of assessment(s)
- e. Medical/Educational information (immunization records)
- f. Probation / Aftercare Rules
- g. other pertinent information

***NOTE: Current paper process requires that FSW make 3 copies of the referral packet to send to ICJ office.

Outputs/Deliverables:

• Referral to TN ICJ

Task (ICJ-030): TN ICJ Office forwards 2 copies of referral to receiving state

Task Owner: TN ICJ Administrator

Participants: TN ICJ Administrator

Inputs: TN ICJ Administrator receives a referral from DCS Family Services Worker and has verified that the referral is complete.

Performance Steps:

- 1. TN ICJ Administrator reviews referral to ensure all required information is included and complete.
- 2. If the information is complete, TN ICJ Administrator will proceed with ICJ process.
- 3. If the information is NOT complete, TN ICJ Administrator will submit the referral back to the DCS Family Services Worker and request that the missing information is provided.
- 4. TN ICJ Administrator forwards the completed referral to the receiving state. **Note: Current paper process requires that 2 copies of the paper referral be sent to the receiving state. (FSW made three copies; ICJ keeps one then forwards the other two.)

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5. TN ICJ Administrator documents date referral sent.

Outputs/Deliverables:

• ICJ referral to receiving state

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Task (ICJ-040): Receiving State initiates activity to determine acceptance/rejection of supervision/placement of youth

Task Owner: Receiving State ICJ Administrator

Participants: Receiving State ICJ Administrator, Receiving State case manager

Inputs: Receiving State ICJ Administrator receives referral from TN ICJ Administrator.

Performance Steps:

- 1. Receiving State ICJ Administrator forwards referral information to appropriate field office for assignment to caseworker.
- 2. Assigned caseworker makes home visit to out-of-state resource.
- 3. Assigned caseworker completes a home evaluation report, which includes recommendation to accept or reject the TN ICJ request to allow the youth to reside with the resource while continuing TN probation or aftercare.
- 4. Assigned caseworker forwards completed home evaluation and recommendation to receiving state ICJ admin. ***NOTE: Receiving State has 30 business days from date referral received to respond to TN with acceptance/rejection of youth.
- 5. Based upon home evaluation report, case manager recommendation, and information contained in the referral, ICJ Administrator determines whether the youth will be accepted from TN for supervision/placement.
- 6. Receiving State ICJ Administrator notifies TN ICJ Administrator of decision. This is done utilizing the Home Evaluation Report form.

Rejection:

- 7. TN ICJ Administrator forwards notice of rejection to DCS Family Services Worker.
- 8. DCS Family Services Worker ends this ICJ instance; retains documentation of referral/rejection. ***Note: It is possible that FSW could have more than one ICJ referral/request active at a given time. Rejection and ending of one instance does not automatically end any of the others.

Acceptance:

- 9. TN ICJ Administrator forwards notice of acceptance to DCS Family Services Worker.
- 10. DCS Family Services worker prepares youth for movement out of state.
- 11. TN ICJ Administrator may assist with transportation/logistical needs. ***NOTE: Part of ICJ agreement is for receiving state to provide quarterly reports (at least) on the status of the youth. These reports are done by the receiving state case manager, sent via receiving state ICJ office to TN ICJ office, and then forwarded on to Family Service Worker for inclusion in the case record.

Outputs/Deliverables:

• Home evaluation of out-of-state resource

Process Description



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- Determination of acceptance/rejection of youth.
- DCS Family Services Worker ends ICJ instance
- TN ICJ Administrator notified of decision

Task (ICJ-050): If the child is placed, TN ICJ receives reports from other state

Task Owner: TN ICJ Administrator

Participants: TN ICJ Administrator

Inputs: Receiving state has accepted TN youth for supervision/placement

Performance Steps:

- 1. TN ICJ Administrator keeps track of when reports are due from receiving state.
- 2. TN ICJ Administrator contacts receiving state about report. They may utilize the grievance process (Association of Juvenile Compact Administrators) if no response from the receiving state.
- 3. TN ICJ Administrator forwards reports to DCS Family Services Worker
- 4. DCS Family Services Worker includes reports in youth's case record.

Outputs/Deliverables:

• Reports from other state

Task (ICJ-060): Probation/Aftercare episode ends?

Task Owner: DCS Family Service Worker

Participants: DCS Family Service Worker, CFT Members, and Court

Inputs: CFTM to review youth's progress and determine whether probation or aftercare episode should end.

Performance Steps:

- 1. Refer to Juvenile Justice business process for performance steps related to the determination of ending probation or aftercare.
- 2. If the decision is made to NOT end the episode, then the ICJ arrangement will continue with receiving state providing supervision and quarterly reports unless it is the decision of the CFTM or Court that the youth should return to TN. (If this occurs, then ICJ instance is terminated.)

Process Description



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Outputs/Deliverables:

• CFTM or Court recommendation

Task (ICJ-070): DCS Family Services Worker closes probation/aftercare episode; notifies TN ICJ

Task Owner: DCS Family Services Worker

Participants: DCS Family Services Worker, TN ICJ Administrator

Inputs: CFTM/TN Juvenile Court ends probation or aftercare episode.

Performance Steps:

- 1. DCS Family Service Worker ends probation or aftercare episode in system.
- 2. TN ICJ Administrator is notified of episode ending.

Outputs/Deliverables:

• Permanency achieved for youth; ICJ case closed.

Task (ICJ-080): TN ICJ notifies ICJ office in receiving state

Task Owner: TN ICJ Administrator

Participants: TN ICJ Administrator

Inputs: Probation episode ends for youth.

Performance Steps:

- 1. TN ICJ Administrator notifies receiving state ICJ administrator that supervision/placement no longer needed as youth has been released from probation or aftercare.
- 2. Receiving State ICJ notifies receiving state field staff to discontinue supervision.
- 3. TN ICJ Administrator closes/ends ICJ instance.

Outputs/Deliverables:

None

Process Description



Prepared by: DCS Office of Information Systems

Incoming

Task (ICJ-090): ICJ

Task Owner: Sending State ICJ Administrator

Participants: TN ICJ Administrator, Sending State ICJ Administrator

Inputs: Sending state initiates ICJ process

Performance Steps:

1. Refer to Incoming ICJ map for details regarding the initiation of the ICJ process.

Outputs/Deliverables:

None

Task (ICJ-100): TN ICJ Administrator receives referral from another state

Task Owner: Sending State ICJ Administrator

Participants: TN ICJ Administrator, Sending State ICJ Administrator

Inputs: Sending state initiates ICJ process

Performance Steps:

- 1. TN ICJ Administrator receives an ICJ referral from another state.
- 2. If information NOT complete, TN ICJ Administrator notifies the sending state ICJ Administrator with request for additional information (note: the ICJ administrator may or may not go ahead and send the referral to the field depending on the amount of incomplete information)
- 3. If referral information is complete, ICJ Administrator forwards the referral to the appropriate county for assignment to a DCS Family Service Worker.

Outputs/Deliverables:

• Verification of referral information

Process Description



Prepared by: DCS Office of Information Systems

Task (ICJ-101): Information Complete?

Task Owner: TN ICJ Administrator

Participants: TN ICJ Administrator, Sending State ICJ Administrator

Inputs: Sending state initiates ICJ process

Performance Steps:

1. TN ICJ Administrator reviews referral to ensure information is complete

Outputs/Deliverables:

• Determination as to whether documentation is complete

Task (ICJ-102): TN ICJ Administrator holds referral until sending state sends required

info

Task Owner: TN ICJ Administrator

Participants: TN ICJ Administrator, Sending State ICJ Administrator

Inputs: Request to sending state for missing information

Performance Steps:

1. TN ICJ Administrator determines that referral is incomplete and/or needs more information in order to accept referral for assignment.

Outputs/Deliverables:

Required info received from sending state

Task (ICJ-110): Family Service Worker Assignment; Home Evaluation conducted

Task Owner: DCS Team Leader

Participants: DCS Team Leader, Family Services Worker, and TN ICJ Administrator

Inputs: ICJ referral received from other state and forwarded to county for assignment.

Process Description

State of Tennessee IT Wethodology

Prepared by: DCS Office of Information Systems

Performance Steps:

- 1. DCS Team Leader receives referral forwarded from TN ICJ Administrator. (Referral is sent by county Refer to listing of Team Leaders in each county.)
- 2. DCS Team Leader makes assignment to FSW.
- 3. FSW conducts Home Evaluation Report of resource requested by sending state.
- 4. FSW documents Home Evaluation Report and includes recommendation of whether resource is acceptable.
- 5. FSW forwards completed Home Evaluation Report and recommendation to TN ICJ Administrator within 20 business days of receipt of referral.
- 6. TN ICJ Administrator reviews Home Evaluation Report and recommendation and prepares notification to sending state

Outputs/Deliverables:

- Home Evaluation Report
- Recommendation for accept/reject requested resource
- Notification to sending state

Task (ICJ-120): TN ICJ sends home evaluation report and justification of rejection to sending state ICJ Administrator

Task Owner: TN ICJ Administrator

Participants: TN ICJ Administrator

Inputs: Rejection of requested resource for youth

Performance Steps:

- 1. TN ICJ Administrator forwards the completed Home Evaluation Report and the notice of rejection to the sending state ICJ Administrator.
- 2. TN ICJ Administrator documents result/activity and ends ICJ instance.

Outputs/Deliverables:

• ICJ referral closed.

Task (ICJ-130): TN ICJ sends home evaluation report to sending state ICJ Administrator

Task Owner: TN ICJ Administrator

Process Description



Prepared by: DCS Office of Information Systems

Participants: TN ICJ Administrator

Inputs: TN ICJ has received the completed home evaluation and recommendation from Family Service Worker; resource approved/youth accepted for supervision/placement.

Performance Steps:

1. TN ICJ Administrator forwards Home Evaluation Report and notification of acceptance to sending state ICJ Administrator

Outputs/Deliverables:

None

Task (ICJ-140): DCS Family Services worker provides case management/supervision to youth/resource

Task Owner: DCS Family Service Worker

Participants: DCS Family Service Worker

Inputs: TN ICJ has received the completed Home Evaluation Report and recommendation from Family Service Worker; resource approved/youth accepted for supervision/placement.

Performance Steps:

- 1. Family Service Worker makes contacts with youth/resource.
- 2. DCS Family Service Worker prepares a quarterly (at least) status report based on information gathered during contacts with youth/resource.
- 3. FSW forwards quarterly report to TN ICJ Administrator
- 4. TN ICJ Administrator forwards quarterly progress report to sending state ICJ Administrator.

***No CFTM is held. Service Plan / Individual Program Plan for youth must be developed, implemented and monitored for progress.

Outputs/Deliverables:

• Quarterly Status report

Task (ICJ-150): Sending state to notify TN ICJ Administrator when supervision no longer needed

Process Description



Prepared by: DCS Office of Information Systems

Task Owner: Sending State ICJ Administrator

Participants: Sending State ICJ Administrator, TN ICJ Administrator

Inputs: Family Service Worker provides case management and quarterly reports to

youth/resource.

Performance Steps:

1. Sending State ICJ Administrator notifies TN ICJ Administrator that supervision no longer needed.

***No standard form is utilized. Most states use letter format and written documentation.

Outputs/Deliverables:

• Notification to discontinue supervision

Task (ICJ-160): TN ICJ Administrator ends ICJ instance

Task Owner: TN ICJ Administrator

Participants: TN ICJ Administrator

Inputs: TN ICJ has received notification from sending state ICJ to discontinue supervision.

Performance Steps:

- 1. TN ICJ Administrator contacts Family Service Worker to inform that supervision to be discontinued.
- 2. DCS Family Services Worker completes case record and ends ICJ instance.
- 3. DCS Team Leader ends assignment.
- 4. TN ICJ ends ICJ instance.

Outputs/Deliverables:

• End case assignment to FSW

Process Description



Prepared by: DCS Office of Information Systems

Flight Status

Task (ICJ-170): TN ICJ notified TN on runaway status

Task Owner: Other State ICJ Administrator (or anyone who is aware of a child who has fled to avoid prosecution, who is an escapee, is a runaway or is an absconder).

Participants: Other State ICJ Administrator, TN ICJ Administrator

Inputs: TN ICJ has received notification from other state ICJ that TN youth on runaway status.

Performance Steps:

1. Other State ICJ Administrator notifies TN ICJ of youth on runaway (via fax, phone or email).

Outputs/Deliverables:

None

Task (ICJ-180): TN ICJ Administrator informs reporter of Requisition Process (if the apprehended child refuses to return to TN or if ICJ is made aware of the location of a runaway who has yet to be apprehended)

Task Owner: TN ICJ Administrator

Participants: TN ICJ Administrator

Inputs: TN ICJ has received notification from other state ICJ of **custodial** TN youth who fled to avoid prosecution, who is an escapee, is a runaway or is an absconder.

Performance Steps:

1. TN ICJ Administrator informs reporter of Requisition Process.

Outputs/Deliverables:

*** Three forms are utilized:

- Form A / Form 1 (signed by Judge) Utilized for a non-delinquent Runaway.
- Form 2 (signed by ICJ) Utilized for an Escapee.
- Form 2 (signed by Judge) Utilized for an Absconder who is on probation or aftercare or leaves the state to avoid prosecution.

Process Description



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Task (ICJ-190): TN ICJ Administrator verifies required paperwork complete

Task Owner: TN ICJ Administrator

Participants: TN ICJ Administrator

Inputs: Other state ICJ/reporter completes Requisition Process

Performance Steps:

1. TN ICJ Administrator reviews requisition paperwork received for completeness.

2. If incomplete, TN ICJ Administrator contacts reporter to obtain missing information.

Outputs/Deliverables:

None

Task (ICJ-200): TN ICJ arranges to have youth picked up w/in 5 business days.

Task Owner: TN ICJ Administrator

Participants: TN ICJ Administrator

Inputs: Reporter has completed requisition process and TN ICJ has verified paperwork for

completeness.

Performance Steps:

1. TN ICJ arranges transportation for youth.

***Note: Normal State of TN travel procedures are utilized. If Form 2 requisition is utilized an escort must be employed. The Family Service Worker may use a State of TN van or may fly. The behavior and state of mind of the youth is assessed in determining the mode of travel. The youth may be allowed to fly unescorted if appropriate.

Also note that if youth not picked up within 5 days, TN must pay Demanding state for care of youth, which is based on the per diem rate of the youth's cost of care.

Outputs/Deliverables:

None

Task (ICJ-210): Voluntary Consent form?

Process Description



Prepared by: DCS Office of Information Systems

Task Owner: TN ICJ Administrator

Participants: TN ICJ Administrator

Inputs: TN ICJ has received notification from other state ICJ of TN youth who has fled to avoid prosecution, who is an escapee, is a runaway or is an absconder.

Performance Steps:

1. Other state notifies TN if youth did or did not sign Form 3 (Voluntary Consent Form).

Outputs/Deliverables:

• Form 3

Task (ICJ-220): TN ICJ Completes Requisition process w/in 90 days (if the apprehended child refuses to return to TN or if ICJ is made aware of the location of a youth who has fled to avoid prosecution, who is an escapee, is a runaway or is an absconder and has yet to be apprehended).

Task Owner: TN ICJ Administrator

Participants: TN ICJ Administrator

Inputs: TN ICJ has received notification from other state ICJ of TN youth who fled to avoid prosecution, who is an escapee, is a runaway or is an absconder and no Voluntary Consent form exists.

Performance Steps:

1. TN ICJ Administrator completes or informs reporter of Requisition Process.

Outputs/Deliverables:

*** Three forms are utilized:

- Form A / Form 1 (signed by Judge) Utilized for a non-delinquent Runaway.
- Form 2 (signed by ICJ) Utilized for an Escapee.
- Form 2 (signed by Judge) Utilized for an Absconder who is on probation or aftercare or leaves the state to avoid prosecution.

Process Description



Prepared by: DCS Office of Information Systems

Task (ICJ-230): Demanding State sends documentation to Demanding state court; court issues arrest order

Task Owner: Demanding State ICJ

Participants: Demanding State ICJ and Court

Inputs: TN ICJ has completed Requisition process for runaway probation youth and has forwarded that documentation to Demanding state ICJ.

Performance Steps:

1. TN ICJ forwards Form 2 and Form A, court documents and arrest order to the Demanding states ICJ Administrator.

Outputs/Deliverables:

None

Task (ICJ-240): Demanding state Judge sends juvenile back to TN or grants demand.

Task Owner: Demanding State Judge

Participants: Demanding State Judge

Inputs: Demanding State court has received requisition documentation from TN ICJ.

Performance Steps:

1. Sending state judge makes decision to return juvenile to Tennessee.

Outputs/Deliverables:

• Child returns or Demand granted.

Task (ICJ-260): Juvenile to Return?

Task Owner: Demanding State Judge

Participants: Demanding State Judge

Inputs: Demanding State court has received requisition documentation from TN ICJ.

Process Description



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Performance Steps:

- 1. If Juvenile is to be returned to TN, go back to task 440.
- 2. If Juvenile is granted Demand, go to task 460.

Outputs/Deliverables:

• None

Task (ICJ-270): Close Case

Task Owner: TN ICJ Administrator

Participants: TN ICJ Administrator

Inputs: Demanding State Judge has granted TN Probation youth Demand; no return to TN.

Performance Steps:

- 1. TN ICJ closes ICJ instance
- 2. If a DCS case exists, TN ICJ notifies DCS Family Service Worker to close probation or aftercare case. (Refer to JJ process for probation).
- 3. If parent, guardian or custodian initiates Requisition process, ICJ notifies them of the court outcome.

Outputs/Deliverables:

None

Process Flow narrative

Prepared by: DCS Office of Information Systems



Stakeholder Sign-Offs

Signature on file	
Kenneth Steverson,	Date
Signature on file	
Johnny Stewart,	Date
Jacqueline Moore, Community Services Program Director	Date